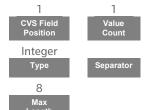


# REFINITIV WORLD-CHECK REFERENCE GUIDE

# Data File Field Guide

UID LAST NAME

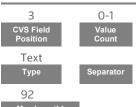


Each record is identified by the Unique Identifier (UID). The UID value is an integer and it is not reused for any other record. Mandatory population field.



For individuals, value will be the primary last name of the individual. For entities, this will be the full entity name. Names will always appear in all capital letters. Mandatory population field.

### FIRST NAME



For individuals, value will be the primary (most commonly reported) first & middle name(s). Names appear in mixed case. For entities, this field will be empty. For individuals with a single name (no distinction between first and last name), this field will contain a dash (-). This applies to individuals in Myanmar, Indonesia, Timor-Leste & India.





O+ Value Count ;

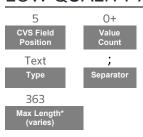
Separator

Field will contain all AKAs and FKAs for both individuals and entities. Entities will appear in all capital letters. For individuals, may contain last names, first names or both. Contains names which differ partially or completely from name contained in primary name fields, or contains names *in addition to* name contained in primary name fields.

### LOW QUALITY ALIASES

### Advanced

### ALTERNATIVE SPELLING



Single names, abbreviated names, descriptive names, nicknames, and other noms de guerre; or other aliases not useful for screening, filtering, or searching. Aliases in sanction records will only be considered LQA if reflected as such by all major sanction listings.

6 O+

CVS Field Value Count

Text ;

Type Separator

Alternative spellings for primary name. May contain last names, first names, or both. Does not contain any names in addition to those listed in primary name fields (LAST NAME / FIRST NAME).

### **CATEGORY**



One or two word description of the main justification for entry being listed within World-Check. See WCOL Reference section for full list of categories. Mandatory population field.

8 O-1

CVS Field Position

Text

Type Separator

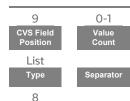
TITLE

Max Length\* (varies) Royal, military or academic title. For example, General, Baroness, Commander, Doctor, Judge, Professor.

\*Max Length is current as-of document date and is subject to change; no advance notice is given when length changes.

### SUB-CATEGORY

### **POSITION**



Specifies whether or not the entry is a Politically Exposed Person (PEP). This also includes State Owned Entities (SOE), immediate relatives and close associates. See WCOL References section for list of sub-categories.

10 0-1

CVS Field Value Position Count

Text

Type Separator

Social or political position (not occupation), such as Ambassador, Alderman, Cabinet Minister, Director, etc. Only applicable to PEP and sanction records.

# AGE

11 O-1

CVS Field Value Count

Integer

Type Separator

If DOB is not available then this field provides the age as reported from source, where available. Used in conjunction with AGE DATE (AS OF DATE) field. If DOB is available then AGE field will be empty.

### DOB

255

Max Length<sup>\*</sup> (varies)

12 CVS Field Position

Date Type Date of birth, specified in the format YYYY/MM/DD. Month and/or day can be zeros ('00') if that information is unavailable.

10 Max Length

**DOBS** 

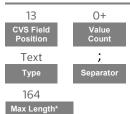
(varies)

Max

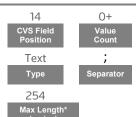
### PLACE OF BIRTH

0-1

Separator



Multiple dates of birth, specified in the format YYYY/MM/DD. Month and/or day can be zeros ('00') if that information is unavailable. DOB will be duplicated in this field.



All reported place(s) of birth for the individual (city, state/province, country where available). Blank for entities.

## **DECEASED**

## 

Where applicable, the date of death of the individual. Often in format YYYY, but sometimes in format YYYY/MM/DD. Field is blank for entities.

16 O+

CVS Field Position Count

Text ;

Type Separator

Max Length<sup>\*</sup> (varies)

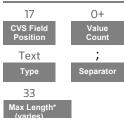
**PASSPORTS** 

Passport number(s), where known, for individuals. Country of issuance is denoted in parentheses.

Max Length\* (varies)

10

# SSN IDENTIFICATION NUMBERS



Social Security Number or Tax ID number, where known. For example, US Social Security Number (SSN) or Brazilian Taxpayer Identification Number (CPF).

18 O+

CVS Field Position Count

Text ;

Type Separator

21,608

Max Length\*

Identification Numbers from national and international authorities. Each ID number is tagged as {XXX-YYYYY}, where XXX is the two-character ISO 3166 country code, or INT or UNK, and YYYYY is the abbreviation for the ID type. See WCOL Reference section for ID Type list.

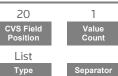
<sup>\*</sup>Max Length is current as-of document date and is subject to change; no advance notice is given when length changes.

### **LOCATIONS**

# 19 O+ CVS Field Value Position Count Text ; Type Separator 5.381

Location(s) (city, state/province, country where available) where the individual or entity is active. For example, residence, company HQ, base of operations. If no locations are known this field will contain a comma surrounded by tildas (~,~). See Appendix 3 for Locations field formatting.

### **COUNTRIES**



44 Max Length\* (varies) For individuals: primary country of nationality or citizenship, extracted from the citizenship field. For entities: the country where entity is registered. Countries are listed in uppercase and (mostly) follow the ISO 3166 English short name standard. See WCOL Standards and References doc for naming differences between World-Check country list and ISO 3166 list. Mandatory population field.

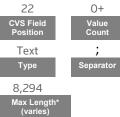
### CITIZENSHIP

Max Length\* (varies)



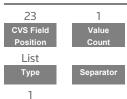
For individuals, country/countries of nationality or citizenship. For entities, the country where entity is registered. See Standards and References document for country standards. Mandatory population field.

# COMPANIES 0+



Companies, organizations and other entities to which the record is understood to be related. Companies can be listed as either the UID of the related record or the name of the company if it is not profiled in World-Check.

### E/I

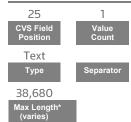


Specifies if the record is an Entity (E) or an Individual (M, F or U). Individuals are specified as Male (M), Female (F) or Unknown (U). 'I' is a deprecated value. Mandatory population field.



Individual(s) to whom the record is understood to be related, listed as the UID(s) of the related record(s).

### **FURTHER INFORMATION**

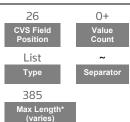


A semi-structured text field containing biographical and further identifying information on profiled entry, relationships, negative or risk reports, as well as full text of all sanction entries (where applicable), as well as background supporting, relevant information.

Mandatory population field.

See Appendix 4 for FI field formatting.

### KEYWORDS



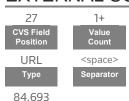
List of all sanctions, regulatory and law enforcement lists on which the record has been listed, as well World-Check defined lists. Keywords are represented as abbreviations. The abbreviation mappings can be found in the WCOL Reference section.

### **EXTERNAL SOURCES**

### **UPDATE CATEGORY**

0-1

Advanced



Max Length\* (varies) List of all public sources, including both government and media sources, used to build the full World-Check record.

Mandatory population field.

CVS Field Value Count

List
Type Separator

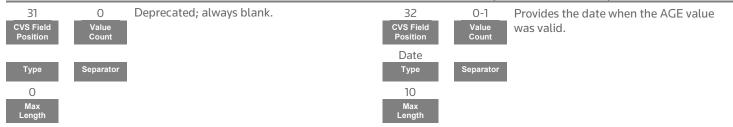
28

Max Lengti Categorization of most recent update. Updates are categorized into 5 groups: C1-C4 & C6. See Reference section for category definitions.

\*Max Length is current as-of document date and is subject to change; no advance notice is given when length changes.

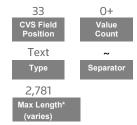
#### **ENTERED UPDATED** 29 30 0-1 Date the record was initially created Date the record was last updated, in and entered into World-Check, in Value Count YYYY/MM/DD format. For records that CVS Field YYYY/MM/DD format. Mandatory have not been updated since creation, population field. Date Date this field will be blank. Separator Separator Type Type 10 10

EDITOR Deprecated AGE DATE (AS OF DATE)



### PEP ROLES

Field Available for Download on 14-May-2019



A set of subfields that provides structured information about the current and former PEP roles held by the PEP. See Appendix 5 for PEP ROLES field formatting.

PEP Roles Subfield Definitions

PEP Role – the social or political job/role held by the PEP per country or position naming conventions.

PEP Role Level – the PEP hierarchy per level or sphere of impact within political or government structures of the PEP job/role.

PEP Position – the standard World-Check PEP position held by the PEP.

PEP Role Start Date – the start date of the term of office in the particular role. An additional item is also provided to indicate whether the start date is Reported or Confirmed. If term start date is not known field will be populated with 'UNKNOWN.'

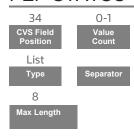
PEP Role End Date – the end date of the term of office in the particular role. An additional item is also provided to indicate whether the end date is Reported or Confirmed. Field will be empty until the term end date occurs, at which time the end date will be populated if it is available.

PEP Role Status – indicates whether particular role is Current, Former or Unknown. If term end date has not occurred and term start date & length of term are known then PEP Role Status will be derived as 'Current' or 'Former'. If term end date has not occurred and term start date is known but length of term is not known, then PEP Role Status will be 'Unknown'.

PEP Role Bio – denotes the specific title of the job role and includes a narrative about the position held.

### PEP STATUS

Field Available for Download on 14-May-2019



The overall status of the PEP, which will be Active, Inactive or Unknown. The below conditions for PEP Status can be manually overridden by researchers for edge cases; e.g. royalty who don't have start/end dates.

For All PEPS

Active: If at least one of the PEP Roles Status is Current

For Primary PEPS

Inactive: If none of the PEP Roles Status is Current or Unknown, and at least one of the PEP Roles Status is Former Unknown: If none of the PEP Roles Status is Current, and at least one of the PEP Roles Status is Unknown For PEP Associates and Relatives

Active: If at least one of the linked Primary PEP's PEP Role Status is Active

Inactive: If none of the linked Primary PEP's PEP Role Status is Active or Unknown, and at least one of the Primary PEP's PEP Role Status is Inactive

Unknown: If none of the linked Primary PEP's PEP Role Status is Active, and at least one of the Primary PEP's PEP Role Status is Unknown

\*Max Length is current as-of document date and is subject to change; no advance notice is given when length changes.

### APPENDIX 1 - VALUE COUNT DEFINITIONS

Value Count	Definition
0	Deprecated field. No value will appear.
1	One and Only one value.
0-1	Zero or one value
0+	Zero or more values
1+	One or more values

### APPENDIX 2 - TYPE DEFINITIONS

Type	Definition
Unique	Unique value. Guaranteed to be unique within the entire database
Text	Any set of characters that can be expressed in ISO/IEC 8859-15 encoding
List	A selection from a set of values. See Reference Section for set.
Integer	Positive Integer. Can be assumed to be less than 2 <sup>31</sup> .
URL	URL that complies with the RFC 3986 standard
Date	Date expressed as YYYY/MM/DD. In certain cases, MM and/or DD may be zeros ('00').

### APPENDIX 3 - LOCATIONS FIELD FORMATTING

<Street Address><SPACE>~<SPACE><City>,<SPACE><State/Region><SPACE>~<SPACE><COUNTRY>

### **Examples:**

Full Address is known	64 Honeybadger Road ~ Borrowdale, Harare ~ ZIMBABWE
City, State, and Country are known	~ New York City, New York ~ USA
City and Country are known	~ St John's,~ ANTIGUA & BARBUDA
State and Country are known	~, State of Missouri ~ USA
Only Country is known	~,~ MOLDOVA

### APPENDIX 4 – FURTHER INFORMATION FIELD FORMATTING

[TEXT TAG]<SPACE><Text><SPACE> optionally: [TEXT TAG]<SPACE>[TEXT TAG]<SPACE><Text><SPACE>

#### **Examples:**

[BIOGRAPHY] African Union peacekeeping mission established by the United Nations Security Council (Feb 2009 - ). [IDENTIFICATION] OfficeTitle: LocationName (Oct 2017 - ). PositionTitle: LocationName (Mar 2019 - ). [REPORTS] Oct 2013 - reportedly listed in an United Nation report to be using child soldiers in its ranks. Investigations opened. Jul 2015 - reportedly using child soldiers during operations and in its ranks. Jun 2016 - listed in the United Nation report to be using child soldiers in its ranks. Nov 2019 - no further information reported.

[STATE CONTROLLED] [BIOGRAPHY] Organisation established under the Act on the Establishment of Financial Supervisory Organisations to examine and supervise financial institutions (1999- ). [IDENTIFICATION] OfficeTitle: CityName (May 2018 - ). [REPORTS] Nov 2019 - no further information reported.

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### APPENDIX 5 - PEP ROLES FIELD FORMATTING

<PEP Role><SPACE>|<SPACE>|<SPACE>|<SPACE>|<SPACE>|<SPACE>|<SPACE>|<SPACE>|<PEP Role Start Date><SPACE>|<SPACE>|<SPACE>|<SPACE>|<SPACE>|<PEP Role End Date><SPACE>|<PEP Role Bio>|

### **Examples:**

Role End Date has not occurred (and length of term is known)	Counsellor   PEP N   Senator   2016/00/00 REPORTED     CURRENT   Counsellor
Role Start Date is not known	Mayor   PEP L   Mayor   UNKNOWN   2006/00/00 CONFIRMED   FORMER   Mayor of Burlington
All information is available	Cabinet Minister   PEP SN   Member of Executive   2015/10/00 CONFIRMED   2017/10/00 CONFIRMED   FORMER   Provincial Minister of Children and Youth Services and Minister responsible for Women's Issues

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